

# BASE SUBSETS OF SYMPLECTIC GRASSMANNIANS

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ABSTRACT. Let  $V$  and  $V'$  be  $2n$ -dimensional vector spaces over fields  $F$  and  $F'$ . Let also  $\Omega : V \times V \rightarrow F$  and  $\Omega' : V' \times V' \rightarrow F'$  be non-degenerate symplectic forms. Denote by  $\Pi$  and  $\Pi'$  the associated  $(2n - 1)$ -dimensional projective spaces. The sets of  $k$ -dimensional totally isotropic subspaces of  $\Pi$  and  $\Pi'$  will be denoted by  $\mathcal{G}_k$  and  $\mathcal{G}'_k$ , respectively. Apartments of the associated buildings intersect  $\mathcal{G}_k$  and  $\mathcal{G}'_k$  by so-called base subsets. We show that every mapping of  $\mathcal{G}_k$  to  $\mathcal{G}'_k$  sending base subsets to base subsets is induced by a symplectic embedding of  $\Pi$  to  $\Pi'$ .

## 1. INTRODUCTION

An incidence geometry of the rank  $n$  has the following ingredients: a set  $\mathcal{G}$  whose elements are called *subspaces*, a symmetric *incidence relation* on  $\mathcal{G}$ , and a surjective *dimension function*

$$\dim : \mathcal{G} \rightarrow \{0, 1, \dots, n - 1\}$$

such that the restriction of this function to every maximal flag is bijective (flags are set of mutually incident subspaces).

A *Tits building* [13] is an incidence geometry together with a family of isomorphic subgeometries called *apartments* and satisfying a certain collection of axioms. One of these axioms says that for any two flags there is an apartment containing them.

Let us consider an incidence geometry of the rank  $n$  whose set of subspaces is denoted by  $\mathcal{G}$ . For every  $k \in \{0, 1, \dots, n - 1\}$  we denote by  $\mathcal{G}_k$  the *Grassmannian* consisting of all  $k$ -dimensional subspaces. If this geometry is a building then the intersection of  $\mathcal{G}_k$  with an apartment is called *the shadow* of this apartment in  $\mathcal{G}_k$  [3]. In the projective and symplectic cases the intersections of apartments with Grassmannians are known as *base subsets* [8, 9, 10].

Let  $f$  be a bijective transformation of  $\mathcal{G}_k$  preserving the family of the shadows of apartments. It is natural to ask: can  $f$  be extended to an automorphism of the corresponding geometry? This problem was solved in [8] for buildings of the type  $A_n$ , in this case  $f$  is induced by a collineation of the associated projective space to itself or the dual projective space (the second possibility can be realized only for the case when  $n = 2k + 1$ ). A more general result can be found in [9].

In the present paper we show that the extension is possible for symplectic buildings.

Note that apartment preserving transformations of the chamber set (the set of maximal flags) of a spherical building are induced by automorphisms of the corresponding complex; this follows from the results given in [1].

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## 2. SYMPLECTIC GEOMETRY

Let  $V$  be a  $2n$ -dimensional vector space over a field  $F$ , and let

$$\Omega : V \times V \rightarrow F$$

be a non-degenerate symplectic form. Denote by  $\Pi = (P, \mathcal{L})$  the  $(2n-1)$ -dimensional projective space associated with  $V$  (points are 1-dimensional subspaces of  $V$  and lines are defined by 2-dimensional subspaces).

We say that two points  $p, q \in P$  are *orthogonal* and write  $p \perp q$  if

$$p = \langle x \rangle, q = \langle y \rangle \quad \text{and} \quad \Omega(x, y) = 0.$$

Similarly, two subspaces  $S$  and  $U$  of  $\Pi$  will be called *orthogonal* ( $S \perp U$ ) if  $p \perp q$  for any  $p \in S$  and  $q \in U$ . The orthogonal complement to a subspace  $S$  (the maximal subspace orthogonal to  $S$ ) will be denoted by  $S^\perp$ , if  $S$  is  $k$ -dimensional then the dimension of  $S^\perp$  is equal to  $2n - k - 2$ .

A base  $\{p_1, \dots, p_{2n}\}$  of  $\Pi$  is said to be *symplectic* if for each  $i \in \{1, \dots, 2n\}$  there exists unique  $\sigma(i) \in \{1, \dots, 2n\}$  such that

$$p_i \not\perp p_{\sigma(i)}.$$

( $p_i$  and  $p_{\sigma(i)}$  are non-orthogonal).

A subspace  $S$  of  $\Pi$  is called *totally isotropic* if any two points of  $S$  are orthogonal; in other words,  $S \subset S^\perp$ . The latter inclusion implies that the dimension of a totally isotropic subspace is not greater than  $n - 1$ .

Now consider the incidence geometry of totally isotropic subspaces. For every symplectic base  $B$  the subgeometry consisting of all totally isotropic subspaces spanned by points of  $B$  is *the symplectic apartment associated with  $B$* . It is well-known that the incidence geometry of totally isotropic subspaces together with the family of all symplectic apartments is a building of the type  $C_n$ .

We write  $\mathcal{G}_k$  for the set of all  $k$ -dimensional totally isotropic subspaces. The set of all  $k$ -dimensional totally isotropic subspaces spanned by points of a symplectic base will be called the *base subset* of  $\mathcal{G}_k$  associated with (defined by) this base.

**Proposition 1.** *Every base subset of  $\mathcal{G}_k$  consists of*

$$2^{k+1} \binom{n}{k+1}$$

*elements.*

*Proof.* Let  $B = \{p_1, \dots, p_{2n}\}$  be a symplectic base and  $\mathcal{B}_k$  be the associated base subset of  $\mathcal{G}_k$ . Denote by  $s_k$  the cardinality of  $\mathcal{B}_k$ .

Clearly, we can suppose that  $\sigma(i) = n + i$  for every  $i \leq n$  (see the definition of a symplectic base). Then for each  $i \in \{1, \dots, n\}$  every element of  $\mathcal{B}_{n-1}$  contains precisely one of the points  $p_i$  or  $p_{n+i}$ . This implies that

$$s_{n-1} = 2^n.$$

If  $k < n - 1$  then each  $U \in \mathcal{B}_{k+1}$  contains  $k + 2$  distinct elements of  $\mathcal{B}_k$  and every  $S \in \mathcal{B}_k$  is contained in  $2(n - k - 1)$  distinct subspaces belonging to  $\mathcal{B}_{k+1}$ . Thus

$$s_k = s_{k+1} \frac{k+2}{2(n-k-1)}.$$

Step by step we get

$$s_k = s_{n-1} \frac{n}{2} \times \frac{n-1}{2 \cdot 2} \times \cdots \times \frac{k+2}{2(n-k-1)}$$

which gives the claim.  $\square$

**Proposition 2.** *For any two  $k$ -dimensional totally isotropic subspaces there is a base subset of  $\mathcal{G}_k$  containing them.*

Proposition 2 can be obtained by an immediate verification or can be drawn from the fact that for any two flags there is an apartment containing them.

### 3. RESULT

From this moment we suppose that  $V$  and  $V'$  are  $2n$ -dimensional vector space over fields  $F$  and  $F'$  (respectively) and

$$\Omega : V \times V \rightarrow F, \quad \Omega' : V' \times V' \rightarrow F'$$

are non-degenerate symplectic forms. Let  $\Pi = (P, \mathcal{L})$  and  $\Pi' = (P', \mathcal{L}')$  be the  $(2n-1)$ -dimensional projective spaces associated with  $V$  and  $V'$ , respectively.

An injection  $f : P \rightarrow P'$  is called an *embedding* of  $\Pi$  to  $\Pi'$  if it maps lines to subsets of lines and for any line  $L' \in \mathcal{L}'$  there is at most one line  $L \in \mathcal{L}$  such that  $f(L) \subset L'$ . An embedding is said to be *strong* if it sends independent subsets to independent subsets. Every strong embedding of  $\Pi$  to  $\Pi$  is induced by a semilinear injection of  $V$  to  $V'$  preserving the linear independence [4, 5, 6].

Our projective spaces have the same dimension, and strong embeddings of  $\Pi$  to  $\Pi'$  (if they exist) map bases to bases. An example given in [7] shows that strong embeddings of  $\Pi$  to  $\Pi'$  can not be characterized as mappings sending bases of  $\Pi$  to bases of  $\Pi$ . However, if  $f : P \rightarrow P'$  transfers symplectic bases to symplectic bases then  $f$  is a strong embedding of  $\Pi$  to  $\Pi'$  and for any two points  $p, q \in P$

$$p \perp q \implies f(p) \perp f(q) \quad \text{and} \quad p \not\perp q \implies f(p) \not\perp f(q),$$

see [11]. Since a surjective embedding is a collineation, every surjection of  $P$  to  $P'$  sending symplectic bases to symplectic bases is a collineation of  $\Pi$  to  $\Pi'$  preserving the orthogonal relation.

In what follows embeddings and collineations sending symplectic bases to symplectic bases will be called *symplectic*.

Denote by  $\mathcal{G}_k$  and  $\mathcal{G}'_k$  the sets of  $k$ -dimensional totally isotropic subspaces of  $\Pi$  and  $\Pi'$ , respectively.

Let  $f : P \rightarrow P'$  be a symplectic embedding of  $\Pi$  to  $\Pi'$ . For each  $S \in \mathcal{G}_k$  the subspace spanned by  $f(S)$  is an element of  $\mathcal{G}'_k$ . The mapping

$$(f)_k : \mathcal{G}_k \rightarrow \mathcal{G}'_k$$

$$S \rightarrow \overline{f(S)}$$

(we write  $\overline{X}$  for the subspace spanned by  $X$ ) is an injection sending base subsets to base subsets. If  $f$  is a collineation then every  $(f)_k$  is bijective. Conversely, an easy verification shows that if  $(f)_k$  is bijective for certain  $k$  then  $f$  is a collineation.

**Theorem 3.** *If a mapping of  $\mathcal{G}_k$  to  $\mathcal{G}'_k$  transfers base subsets to base subsets then it is induced by a symplectic embedding of  $\Pi$  to  $\Pi'$ .*

**Corollary 4.** *Every surjection  $\mathcal{G}_k$  to  $\mathcal{G}'_k$  sending base subsets to base subsets is induced by a symplectic collineation of  $\Pi$  to  $\Pi'$ .*

For  $k = n - 1$  these results were established in [10]. For  $n = 2$  they can be drawn from well-known properties of generalized quadrangles [14].

Our proof of Theorem 3 is based on elementary properties of so-called inexact subsets (Section 4). If  $k = n - 1$  then all maximal inexact subsets are of the same type. The case when  $k < n - 1$  is more complicated: there are two different types of maximal inexact subsets.

Two elements of  $\mathcal{G}_k$  are called *adjacent* if their intersection belongs to  $\mathcal{G}_{k-1}$ . We say that two elements of  $\mathcal{G}_k$  are *ortho-adjacent* if they are orthogonal and adjacent; this is possible only if  $k < n - 1$ . Using inexact subsets we characterize the adjacency and ortho-adjacency relations in terms of base subsets. This characterization shows that every mapping of  $\mathcal{G}_k$  to  $\mathcal{G}'_k$  sending base subsets to base subsets is adjacency and ortho-adjacency preserving (Section 6); after that arguments in the spirit of [2] give the claim (Section 7).

#### 4. INEXACT SUBSETS

Let  $n \geq 3$  and  $B = \{p_1, \dots, p_{2n}\}$  be a symplectic base of  $\Pi$ . Denote by  $\mathcal{B}$  the base subset of  $\mathcal{G}_k$  associated with  $B$ . By the definition,  $\mathcal{B}$  consists of all  $k$ -dimensional subspaces

$$\overline{\{p_{i_1}, \dots, p_{i_{k+1}}\}}$$

such that

$$\{i_1, \dots, i_{k+1}\} \cap \{\sigma(i_1), \dots, \sigma(i_{k+1})\} = \emptyset.$$

If  $k = m - 1$  then every element of  $\mathcal{B}$  contains precisely one of the points  $p_i$  or  $p_{\sigma(i)}$  for each  $i$ .

We write  $\mathcal{B}(+i)$  and  $\mathcal{B}(-i)$  for the sets of all elements of  $\mathcal{B}$  which contain  $p_i$  or do not contain  $p_i$ , respectively. For any  $i_1, \dots, i_s$  and  $j_1, \dots, j_u$  belonging to  $\{1, \dots, 2n\}$  we define

$$\mathcal{B}(+i_1, \dots, +i_s, -j_1, \dots, -j_u) := \mathcal{B}(+i_1) \cap \dots \cap \mathcal{B}(+i_s) \cap \mathcal{B}(-j_1) \cap \dots \cap \mathcal{B}(-j_u).$$

The set of all elements of  $\mathcal{B}$  incident with a subspace  $S$  will be denoted by  $\mathcal{B}(S)$  (this set may be empty). Then  $\mathcal{B}(-i)$  coincides with  $\mathcal{B}(S)$ , where  $S$  is the subspace spanned by  $B \setminus \{p_i\}$ . It is trivial that

$$\mathcal{B}(+i) = \mathcal{B}(+i, -\sigma(i))$$

and for the case when  $k = m - 1$  we have

$$\mathcal{B}(-i) = \mathcal{B}(+\sigma(i)) = \mathcal{B}(+\sigma(i), -i).$$

Let  $\mathcal{R} \subset \mathcal{B}$ . We say that  $\mathcal{R}$  is *exact* if there is only one base subset of  $\mathcal{G}_k$  containing  $\mathcal{R}$ ; otherwise,  $\mathcal{R}$  will be called *inexact*. If  $\mathcal{R} \cap \mathcal{B}(+i)$  is not empty then we define  $S_i(\mathcal{R})$  as the intersection of all subspaces belonging to  $\mathcal{R}$  and containing  $p_i$ , and we define  $S_i(\mathcal{R}) := \emptyset$  if the intersection of  $\mathcal{R}$  and  $\mathcal{B}(+i)$  is empty. If

$$S_i(\mathcal{R}) = p_i$$

for all  $i$  then  $\mathcal{R}$  is exact; the converse fails.

**Lemma 5.** *Let  $\mathcal{R} \subset \mathcal{B}$ . Suppose that there exist  $i, j$  such that  $j \neq i, \sigma(i)$  and*

$$p_j \in S_i(\mathcal{R}), \quad p_{\sigma(i)} \in S_{\sigma(j)}(\mathcal{R}).$$

*Then  $\mathcal{R}$  is inexact.*

*Proof.* On the line  $p_i p_j$  we choose a point  $p'_i$  different from  $p_i$  and  $p_j$ . The line  $p_{\sigma(i)} p_{\sigma(j)}$  contains a unique point orthogonal to  $p'_i$ ; we denote this point by  $p'_{\sigma(j)}$ . Then

$$(B \setminus \{p_i, p_{\sigma(j)}\}) \cup \{p'_i, p'_{\sigma(j)}\}$$

is a symplectic base. The associated base subset of  $\mathcal{G}_k$  contains  $\mathcal{R}$  and we get the claim.  $\square$

**Proposition 6.** *The subset  $\mathcal{B}(-i)$  is inexact; moreover, if  $k < n - 1$  then this is a maximal inexact subset. For the case when  $k = n - 1$  the inexact subset  $\mathcal{B}(-i)$  is not maximal.*

*Proof.* Let us take a point  $p'_i$  on the line  $p_i p_{\sigma(i)}$  different from  $p_i$  and  $p_{\sigma(i)}$ . Then

$$(B \setminus \{p_i\}) \cup \{p'_i\}$$

is a symplectic base and the associated base subset of  $\mathcal{G}_k$  contains  $\mathcal{B}(-i)$ . Hence this subset is inexact.

Let  $k < n - 1$ . For any  $j \neq i$  we can choose distinct

$$i_1, \dots, i_k \in \{1, \dots, 2n\} \setminus \{i, j, \sigma(i), \sigma(j)\}$$

such that

$$\{i_1, \dots, i_k\} \cap \{\sigma(i_1), \dots, \sigma(i_k)\} = \emptyset.$$

The subspaces spanned by

$$p_{i_1}, \dots, p_{i_k}, p_j \text{ and } p_{\sigma(i_1)}, \dots, p_{\sigma(i_k)}, p_j$$

belong to  $\mathcal{B}(-i)$ . Since the intersection of these subspaces is  $p_j$ , we have

$$(1) \quad S_j(\mathcal{B}(-i)) = p_j \text{ if } j \neq i.$$

Let  $U$  be an arbitrary taken element of

$$\mathcal{B} \setminus \mathcal{B}(-i) = \mathcal{B}(+i).$$

This subspace is spanned by  $p_i$  and some  $p_{i_1}, \dots, p_{i_k}$ . Since  $p_i$  is a unique point of  $U$  orthogonal to  $p_{\sigma(i_1)}, \dots, p_{\sigma(i_k)}$ , (1) shows that the subset

$$(2) \quad \mathcal{B}(-i) \cup \{U\}$$

is exact. This implies that the inexact subset  $\mathcal{B}(-i)$  is maximal.

Now let  $k = n - 1$ . We take an arbitrary element  $U \in \mathcal{B}(+i)$ . There exists  $j$  such that  $p_{\sigma(j)}$  does not belongs to  $U$ . Then  $p_j$  is a point of the subspace

$$S_i(\mathcal{B}(-i) \cup \{U\}) = U.$$

Since  $p_{\sigma(i)}$  belongs to every element of  $\mathcal{B}(-i)$  and  $p_{\sigma(j)}$  does not belongs to  $U$ ,

$$S_{\sigma(j)}(\mathcal{B}(-i)) = S_{\sigma(j)}(\mathcal{B}(-i) \cup \{U\})$$

contains  $p_{\sigma(i)}$ . By Lemma 5, the subset (2) is inexact and the inexact subset  $\mathcal{B}(-i)$  is not maximal.  $\square$

**Proposition 7.** *If  $j \neq i, \sigma(i)$  then*

$$\mathcal{R}_{ij} := \mathcal{B}(+i, +j) \cup \mathcal{B}(+\sigma(i), +\sigma(j)) \cup \mathcal{B}(-i, -\sigma(j))$$

*is a maximal inexact subset.*

If  $k = n - 1$  then

$$\mathcal{R}_{ij} = \mathcal{B}(+i, +j) \cup \mathcal{B}(-i).$$

*Proof.* Since

$$S_i(\mathcal{R}_{ij}) = p_i p_j \text{ and } S_{\sigma(j)}(\mathcal{R}_{ij}) = p_{\sigma(j)} p_{\sigma(i)},$$

Lemma 5 shows that  $\mathcal{R}_{ij}$  is inexact. We want to show that

$$(3) \quad S_l(\mathcal{R}_{ij}) = p_l \text{ if } l \neq i, \sigma(j).$$

Let  $l \neq i, j, \sigma(i), \sigma(j)$ . If  $k \geq 2$  then there exists

$$i_1, \dots, i_{k-2} \in \{1, \dots, n\} \setminus \{i, j, \sigma(i), \sigma(j), l, \sigma(l)\}$$

such that

$$\{i_1, \dots, i_k\} \cap \{\sigma(i_1), \dots, \sigma(i_k)\} = \emptyset;$$

the subspaces spanned by

$$p_{i_1}, \dots, p_{i_{k-2}}, p_l, p_i, p_j \text{ and } p_{\sigma(i_1)}, \dots, p_{\sigma(i_{k-2})}, p_l, p_{\sigma(i)}, p_{\sigma(j)}$$

are elements of  $\mathcal{R}_{ij}$  intersecting in the point  $p_l$ . If  $k = 1$  then the lines  $p_l p_{\sigma(i)}$  and  $p_l p_j$  are as required.

Now we choose distinct

$$i_1, \dots, i_{k-1} \in \{1, \dots, n\} \setminus \{i, j, \sigma(i), \sigma(j)\}$$

such that

$$\{i_1, \dots, i_{k-1}\} \cap \{\sigma(i_1), \dots, \sigma(i_{k-1})\} = \emptyset$$

and consider the subspace spanned by

$$p_{i_1}, \dots, p_{i_{k-2}}, p_j, p_{\sigma(i)}.$$

This subspace intersects the subspaces spanned by

$$p_{i_1}, \dots, p_{i_{k-1}}, p_j, p_i \text{ and } p_{i_1}, \dots, p_{i_{k-1}}, p_{\sigma(i)}, p_{\sigma(j)}$$

precisely in the points  $p_j$  and  $p_{\sigma(i)}$ , respectively. Since all these subspaces are elements of  $\mathcal{R}_{ij}$ , we get (3) for  $l = j, \sigma(i)$ .

A direct verification shows that

$$\mathcal{B} \setminus \mathcal{R}_{ij} = \mathcal{B}(+i, -j) \cup \mathcal{B}(+\sigma(j), -\sigma(i)).$$

Thus for every  $U \in \mathcal{B} \setminus \mathcal{R}_{ij}$  one of the following possibilities is realized:

- (1)  $U \in \mathcal{B}(+i, -j)$  intersects  $S_i(\mathcal{R}_{ij}) = p_i p_j$  by  $p_i$ ,
- (2)  $U \in \mathcal{B}(+\sigma(j), -\sigma(i))$  intersects  $S_{\sigma(j)}(\mathcal{R}_{ij}) = p_{\sigma(j)} p_{\sigma(i)}$  by  $p_{\sigma(j)}$ .

Since  $p_{\sigma(j)}$  is a unique point of the line  $p_{\sigma(j)} p_{\sigma(i)}$  orthogonal to  $p_i$  and  $p_i$  is a unique point on  $p_i p_j$  orthogonal to  $p_{\sigma(j)}$ , the subset

$$\mathcal{R}_{ij} \cup \{U\}$$

is exact for each  $U$  belonging to  $\mathcal{B} \setminus \mathcal{R}_{ij}$ . Thus the inexact subset  $\mathcal{R}_{ij}$  is maximal.  $\square$

The maximal inexact subsets considered in Propositions 6 and 7 will be called of the *first* and the *second* types, respectively.

**Proposition 8.** *Every maximal inexact subset is of the first or the second type. In particular, if  $k = n - 1$  then each maximal inexact subset is of the second type.*

*Proof.* Let  $\mathcal{R}$  be a maximal inexact subset of  $\mathcal{B}$ , and let  $B'$  be another symplectic base of  $\Pi$  such that the associated base subset of  $\mathcal{G}_k$  contains  $\mathcal{R}$ . If certain  $S_i(\mathcal{R})$  is empty then  $\mathcal{R} \subset \mathcal{B}(-i)$ . If  $k < n - 1$  then the inverse inclusion holds (since our inexact subset is maximal). If  $k = n - 1$  then

$$\mathcal{R} \subset \mathcal{B}(-i) \subset \mathcal{R}_{ij}$$

and  $\mathcal{R} = \mathcal{R}_{ij}$ .

Now suppose that each  $S_i(\mathcal{R})$  is not empty. Denote by  $I$  the set of all  $i$  such that the dimension of  $S_i(\mathcal{R})$  is non-zero. We take arbitrary  $i \in I$  and suppose that  $S_i(\mathcal{R})$  is spanned by  $p_i$  and  $p_{j_1}, \dots, p_{j_u}$ . If the subspaces

$$M_1 := S_{\sigma(j_1)}(\mathcal{R}), \dots, M_u := S_{\sigma(j_u)}(\mathcal{R})$$

do not contain  $p_{\sigma(i)}$  then  $p_i$  belongs to  $M_1^\perp, \dots, M_u^\perp$ ; on the other hand

$$p_{j_1} \notin M_1^\perp, \dots, p_{j_u} \notin M_u^\perp$$

and we have

$$M_1^\perp \cap \dots \cap M_u^\perp \cap S_i(\mathcal{R}) = p_i;$$

since all  $S_l(\mathcal{R})$  and their orthogonal complements are spanned by points of the base  $B'$ , the point  $p_i$  belongs to  $B'$ . Therefore, there exist  $i \in I$  and  $j \neq i, \sigma(i)$  such that

$$p_j \in S_i(\mathcal{R}) \quad \text{and} \quad p_{\sigma(i)} \in S_{\sigma(j)}(\mathcal{R}).$$

Then  $\mathcal{R} = \mathcal{R}_{ij}$ . □

Maximal inexact subsets of the same type have the same cardinality. These cardinalities will be denoted by  $c_1(k)$  and  $c_2(k)$ , respectively. An immediate verification shows that each of the following possibilities

$$c_1(k) = c_2(k), \quad c_1(k) < c_2(k), \quad c_1(k) > c_2(k)$$

is realized.

## 5. COMPLEMENT SUBSETS

Let  $\mathcal{B}$  be as in the previous section. We say that  $\mathcal{R} \subset \mathcal{B}$  is a *complement subset* if  $\mathcal{B} \setminus \mathcal{R}$  is a maximal inexact subset. A complement subset is said to be of the *first* or the *second* type if the corresponding maximal inexact subset is of the first or the second type, respectively. The complement subsets for the maximal inexact subsets from Propositions 6 and 7 are

$$\mathcal{B}(+i) \quad \text{and} \quad \mathcal{B}(+i, -j) \cup \mathcal{B}(+\sigma(j), -\sigma(i)).$$

If  $k = n - 1$  then the second subset coincides with

$$\mathcal{B}(+i, +\sigma(j)) = \mathcal{B}(+i, +\sigma(j), -j, -\sigma(i)).$$

**Lemma 9.** *Let  $k = n - 1$ . Then  $S, U \in \mathcal{B}$  are adjacent if and only if there are precisely  $\binom{k}{2}$  distinct complement subsets of  $\mathcal{B}$  containing both  $S$  and  $U$ .*

*Proof.* Denote by  $m$  the dimension of  $S \cap U$ . The complement subset  $\mathcal{B}(+i, +j)$  contains our subspaces if and only if  $p_i, p_j$  belong to  $S \cap U$ . Thus there are precisely  $\binom{m+1}{2}$  distinct complement subsets of  $\mathcal{B}$  containing  $S$  and  $U$ . □

**Lemma 10.** *Let  $k < n - 1$  and  $\mathcal{R}$  be a complement subset of  $\mathcal{B}$ . If  $\mathcal{R}$  is of the first type then there are precisely  $4n - 3$  distinct complement subsets of  $\mathcal{B}$  which do not intersect  $\mathcal{R}$ . If  $\mathcal{R}$  is of the second type then there are precisely 4 distinct complement subsets of  $\mathcal{B}$  which do not intersect  $\mathcal{R}$ .*

To prove Lemma 10 we use the following.

**Lemma 11.** *Let  $i, i', j, j'$  be elements of  $\{1, \dots, 2n\}$  such that  $i \neq j$  and  $i' \neq j'$ . If the intersection of*

$$\mathcal{B}(+i, -j) \text{ and } \mathcal{B}(+i', -j')$$

*is empty then one of the following possibilities is realized:  $i' = \sigma(i)$ ,  $i' = j$ ,  $j' = i$ .*

*Proof.* Direct verification.  $\square$

*Proof of Lemma 10.* Let us fix  $l \in \{1, \dots, 2n\}$  and consider the complement subset  $\mathcal{B}(+l)$ . If  $\mathcal{B}(+i)$  is disjoint with  $\mathcal{B}(+l)$  then  $i = \sigma(l)$ . If for some  $i, j \in \{1, \dots, 2n\}$  the complement subset

$$\mathcal{B}(+i, -j) \cup \mathcal{B}(+\sigma(j), -\sigma(i))$$

does not intersect  $\mathcal{B}(+l)$  then one of the following possibilities is realized:

- (1)  $i = \sigma(l)$ , the condition  $j \neq i, \sigma(i)$  shows that there are exactly  $2n - 2$  possibilities for  $j$ ;
- (2)  $j = l$  and there are exactly  $2n - 2$  possibilities for  $i$  (since  $i \neq j, \sigma(j)$ ).

Now fix  $i, j \in \{1, \dots, 2n\}$  such that  $j \neq i, \sigma(i)$  and consider the associated complement subset

$$(4) \quad \mathcal{B}(+i, -j) \cup \mathcal{B}(+\sigma(j), -\sigma(i)).$$

There are only two complement subsets of the first type disjoint with (4):

$$\mathcal{B}(+\sigma(i)) \text{ and } \mathcal{B}(+j).$$

If

$$\mathcal{B}(+i', -j') \cup \mathcal{B}(+\sigma(j'), -\sigma(i'))$$

does not intersect (4) then one of the following two possibilities is realized:

$$i' = j, j' = i \text{ or } i' = \sigma(i), j' = \sigma(j)$$

(see Lemma 11).  $\square$

## 6. MAIN LEMMA

Let  $f : \mathcal{G}_k \rightarrow \mathcal{G}'_k$  be a mapping which sends base subsets to base subsets. Since for any two elements of  $\mathcal{G}_k$  there exists a base subset containing them (Proposition 2) and the restriction of  $f$  to every base subset of  $\mathcal{G}_k$  is a bijection to a base subset of  $\mathcal{G}'_k$ , the mapping  $f$  is injective.

In this section the following statement will be proved.

**Lemma 12** (Main Lemma). *Let  $S, U \in \mathcal{G}_k$ . Then  $S$  and  $U$  are adjacent if and only if  $f(S)$  and  $f(U)$  are adjacent. Moreover, for the case when  $k < n - 1$  the subspaces  $S$  and  $U$  are ortho-adjacent if and only if the same holds for  $f(S)$  and  $f(U)$ .*

Let  $\mathcal{B}$  be a base subset of  $\mathcal{G}_k$  containing  $S$  and  $U$ . Then  $\mathcal{B}' := f(\mathcal{B})$  is a base subset of  $\mathcal{G}_k(\Omega')$  and the restriction  $f|_{\mathcal{B}}$  is a bijection to  $\mathcal{B}'$ .



**Lemma 13.** *A subset  $\mathcal{R} \subset \mathcal{B}$  is inexact if and only if  $f(\mathcal{R})$  is inexact; moreover,  $\mathcal{R}$  is a maximal inexact subset if and only if the same holds for  $f(\mathcal{R})$ .*

*Proof.* If  $\mathcal{R}$  is inexact then there are two distinct base subsets of  $\mathcal{G}_k$  containing  $\mathcal{R}$  and their  $f$ -images are distinct base subsets of  $\mathcal{G}'_k$  containing  $f(\mathcal{R})$ , hence  $f(\mathcal{R})$  is inexact. The base subsets  $\mathcal{B}$  and  $\mathcal{B}'$  have the same number of inexact subsets and the first part of our statement is proved.

Now let  $\mathcal{R}$  be a maximal inexact subset.

Suppose that  $c_1(k) = c_2(k)$ . Then  $f(\mathcal{R})$  is an inexact subset of  $\mathcal{B}'$  consisting of  $c_1(k) = c_2(k)$  elements, this inexact subset is maximal. Since  $\mathcal{B}$  and  $\mathcal{B}'$  have the same number of maximal inexact subsets, every maximal inexact subset of  $\mathcal{B}'$  is the image of a maximal inexact subset of  $\mathcal{B}$ .

Consider the case when  $c_1(k) > c_2(k)$  (the case  $c_1(k) < c_2(k)$  is similar). If  $\mathcal{R}$  is of the first type then the inexact subset  $f(\mathcal{R})$  consists of  $c_1(k)$  elements and the inequality  $c_1(k) > c_2(k)$  guarantees that this is a maximal inexact subset of the first type. The base subsets  $\mathcal{B}$  and  $\mathcal{B}'$  have the same number of maximal inexact subsets of the first type; thus  $\mathcal{R}$  is a maximal inexact subset of the first type if and only if the same holds for  $f(\mathcal{R})$ . For the case when  $\mathcal{R}$  is of the second type and the inexact subset  $f(\mathcal{R})$  is not maximal, we take a maximal inexact subset  $\mathcal{R}' \subset \mathcal{B}'$  containing  $f(\mathcal{R})$ ; since

$$|\mathcal{R}'| > |f(\mathcal{R})| = c_2(k),$$

$\mathcal{R}'$  is of the first type and  $\mathcal{R}$  is contained in the maximal inexact subset  $f^{-1}(\mathcal{R}')$ ; the latter is impossible. Similarly, we show that every maximal inexact subset  $\mathcal{R}' \subset \mathcal{B}'$  of the second type is the image of a maximal inexact subset of  $\mathcal{B}$ .  $\square$

**Lemma 14.**  *$\mathcal{R} \subset \mathcal{B}$  is a complement subset if and only if  $f(\mathcal{R})$  is a complement subset of  $\mathcal{B}'$ .*

*Proof.* This is a simple consequence of the previous lemma.  $\square$

For  $k = n - 1$  Main Lemma (Lemma 12) can be drawn directly from Lemmas 9 and 14. In [10] this statement was proved by a more complicated way.

**Lemma 15.** *The mapping  $f|_{\mathcal{B}}$  together with the inverse mapping preserve types of maximal inexact and complement subsets.*

*Proof.* This statement is trivial if  $k = n - 1$  or  $c_1(k) \neq c_2(k)$ . For the general case this follows from Lemma 10.  $\square$

We write  $\mathcal{X}_i$  and  $\mathcal{X}'_i$  for the sets of all  $i$ -dimensional subspaces spanned by points of the symplectic bases associated with  $\mathcal{B}$  and  $\mathcal{B}'$ , respectively.

**Lemma 16.** *There exists a bijection  $g : \mathcal{X}_{k+1} \rightarrow \mathcal{X}'_{k+1}$  such that*

$$f(\mathcal{B}(N)) = \mathcal{B}'(g(N))$$

*for every  $N \in \mathcal{X}_{k+1}$ .*

*Proof.* Lemma 15 guarantees that  $f|_{\mathcal{B}}$  and the inverse mapping send maximal inexact subsets of the first type to maximal inexact subsets of the first type. This implies the existence of a bijection  $h : \mathcal{X}_{2n-2} \rightarrow \mathcal{X}'_{2n-2}$  such that

$$f(\mathcal{B}(M)) = \mathcal{B}'(h(M))$$

for all  $M \in \mathcal{X}_{2n-2}$ . Each  $N \in \mathcal{X}_{k+1}$  can be presented as the intersection of

$$M_1, \dots, M_{2n-k-2} \in \mathcal{X}_{2n-2}.$$

Then

$$g(N) := \bigcap_{i=1}^{2n-k-2} h(M_i)$$

is as required.  $\square$

Now we prove Lemma 12 for  $k < n - 1$ . Two subspaces  $S, U \in \mathcal{B}$  are adjacent if and only if they belong to  $\mathcal{B}(T)$  for certain  $T \in \mathcal{X}_{k+1}$ ; moreover,  $S$  and  $U$  are ortho-adjacent if and only if  $\mathcal{B}(T)$  consists of  $k + 2$  elements (in other words,  $T$  is totally isotropic). The required statement follows from Lemma 16.

## 7. PROOF OF THEOREM 3

Let  $M, N$  be a pair of incident subspaces of  $\Pi$  such that  $\dim M < k < \dim N$ . We put  $[M, N]_k$  for the set of  $k$ -dimensional subspaces of  $\Pi$  incident with both  $M$  and  $N$ ; for the case when  $M = \emptyset$  or  $N = P$  we write  $(N)_k$  or  $(M)_k$ , respectively.

We say that  $\mathcal{X} \subset \mathcal{G}_k$  is an *A-subset* if any two distinct elements of  $\mathcal{X}$  are adjacent.

**Example 17.** If  $k < n - 1$  and  $N$  is an element of  $\mathcal{G}_{k+1}$  then  $(N)_k$  is a maximal *A-subset* of  $\mathcal{G}_k$ . Subsets of such type will be called *tops*. Any two distinct elements of a top are ortho-adjacent.

**Example 18.** If  $M$  belongs to  $\mathcal{G}_{k-1}$  then

$$[M, M^\perp]_k = (M)_k \cap \mathcal{G}_k$$

is a maximal *A-subset* of  $\mathcal{G}_k$ . Such maximal *A-subsets* are known as *stars*, they contain non-orthogonal elements.

**Fact 19** ([2],[12]). *Each A-subset is contained in a maximal A-subset. Every maximal A-subset of  $\mathcal{G}_{n-1}$  is a star. If  $k < n - 1$  then every maximal A-subset of  $\mathcal{G}_k$  is a top or a star.*

The first part of Lemma 12 says that  $f$  transfers *A-subsets* to *A-subsets*. The second part of Lemma 12 guarantees that stars go to subsets of stars. In other words, for any  $M \in \mathcal{G}_{k-1}$  there exists  $M' \in \mathcal{G}'_{k-1}$  such that

$$(5) \quad f([M, M^\perp]_k) \subset [M', M'^\perp]_k.$$

Suppose that

$$f([M, M^\perp]_k) \subset [M'', M''^\perp]_k$$

for other  $M'' \in \mathcal{G}'_{k-1}$ . Then  $f([M, M^\perp]_k)$  is contained in the intersection of  $[M', M'^\perp]_k$  and  $[M'', M''^\perp]_k$ . This intersection is not empty only if  $M' = M''$  or  $M'$  and  $M''$  are ortho-adjacent; but in the second case our intersection consists of one element. Thus there is unique  $M' \in \mathcal{G}'_{k-1}$  satisfying (5). We have established the existence of a mapping

$$g : \mathcal{G}_{k-1} \rightarrow \mathcal{G}'_{k-1}$$

such that

$$f([M, M^\perp]_k) \subset [g(M), g(M)^\perp]_k$$

for every  $M \in \mathcal{G}_{k-1}$ . It is easy to see that

$$(6) \quad g((N)_{k-1}) \subset (f(N))_{k-1} \quad \forall N \in \mathcal{G}_k.$$

Now we show that  $g$  sends base subsets to base subsets.

*Proof.* Let  $\mathcal{B}_{k-1}$  be a base subset of  $\mathcal{G}_{k-1}$  and  $B$  be the associated symplectic base. This base defines a base subset  $\mathcal{B} \subset \mathcal{G}_k$ . Now let  $B'$  be the symplectic base associated with the base subset  $\mathcal{B}' := f(\mathcal{B})$  and  $\mathcal{B}'_{k-1}$  be the base subset of  $\mathcal{G}'_{k-1}$  defined by  $B'$ . If  $S \in \mathcal{B}_{k-1}$  then we take  $U_1, U_2 \in \mathcal{B}$  such that  $S = U_1 \cap U_2$ , and (6) shows that

$$g(S) = f(U_1) \cap f(U_2) \in \mathcal{B}_{k-1}.$$

Thus  $g(\mathcal{B}_{k-1})$  is contained in  $\mathcal{B}'_{k-1}$ . Suppose that  $g(\mathcal{B}_{k-1})$  is a proper subset of  $\mathcal{B}'_{k-1}$ . Then  $g(S) = g(U)$  for some distinct  $S, U \in \mathcal{B}_{k-1}$ . The  $f$ -image of

$$\mathcal{B}(S) = \mathcal{B} \cap [S, S^\perp]_k$$

is contained in

$$\mathcal{B}'(g(S)) = \mathcal{B}' \cap [g(S), g(S)^\perp]_k.$$

Since these sets have the same cardinality,

$$f(\mathcal{B}(S)) = \mathcal{B}'(g(S)).$$

Similarly,

$$f(\mathcal{B}(U)) = \mathcal{B}'(g(U)).$$

The equality  $f(\mathcal{B}(S)) = f(\mathcal{B}(U))$  contradicts the injectivity of  $f$ . Hence  $g(\mathcal{B}_{k-1})$  coincides with  $\mathcal{B}'_{k-1}$ .  $\square$

If  $k = 1$  then the mapping  $g : P \rightarrow P'$  sends symplectic bases to symplectic bases, by [11]  $g$  is a symplectic embedding of  $\Pi$  to  $\Pi'$ , and we have  $f = (g)_1$ .

Now suppose that  $k > 1$  and  $g$  is induced by a symplectic embedding  $h$  of  $\Pi$  to  $\Pi'$ . Let us consider an arbitrary element  $S \in \mathcal{G}_k$  and take ortho-adjacent  $M, N \in \mathcal{G}_{k-1}$  such that  $S = \overline{M \cup N}$ . Then

$$\{S\} = [M, M^\perp]_k \cap [N, N^\perp]_k$$

and  $f(S)$  belongs to the intersection of  $[g(M), g(M)^\perp]_k$  and  $[g(N), g(N)^\perp]_k$ . Since

$$g(M) = \overline{h(M)} \quad \text{and} \quad g(N) = \overline{h(N)}$$

are ortho-adjacent, the intersection of  $[g(M), g(M)^\perp]_k$  and  $[g(N), g(N)^\perp]_k$  consists of one element and we have

$$f(S) = \overline{\overline{h(M)} \cup \overline{h(N)}} = \overline{h(S)}.$$

This means that  $f$  is induced by  $h$ . Therefore Theorem 3 can be proved by induction.

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